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The reconstruction problem of uniform hypergraphs from their degree sequences

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Order Theory

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Definitions

Graphs and Hypergraphs

A *graph* is a pair of sets G = (V, E) where V is the set of vertices and $E \subseteq V \times V$ is the set of edges. Generalization: a *hypergraph* is a pair H = (V, E) where V is the set of vertices and $E \subset \mathcal{P}(V)$ is the set of hyperedges.

Remark: in particular a graph is a hypergraph.

The *degree* of a vertex *v* is the number of edges that intersect *v*. The *degree sequence d* of a hypergraph is the sequence of the degrees of vertices arranged in non-increasing order. A non increasing sequence of positive integers $d = (d_1, \ldots, d_n)$ is (hyper)graphic if there exists a (hyper)graph that realizes *d*. Definitions 00000000 The class D 000000000000 Heuristic

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Problem:

Can we characterize graphic and hypergraphic sequences?



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Definitions

Incidence matrix

The incidence matrix is a binary matrix associated to the hypergraph H and identifies it uniquely. The element in position (i, j) is equal to 1 if and only if the *i*-th hyperedge intersects the *j*-th vertex.

- Columns correspond to vertices,
- rows correspond to hyperedges.

The incidence matrix has the degree sequence d as column sums. If the cardinality of each edge is k, then the hypergraph is *k*-uniform. Consequently the rows sum of the incidence matrix is (k, \ldots, k) . Definitions 000000000 The class \mathcal{D}

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Problem:

Can we reconstruct the incidence matrix (if it exists) of a given integer sequence?

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Definitions

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Definitions

Example of 3-uniform hypergraph



$$H = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 & 1 \end{pmatrix}$$

 $d_H = (3, 3, 2, 2, 2)$

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Previous results-Graphs

Theorem

(Erdös, Gallai 1960) The integer sequence $d = (d_1, d_2, ..., d_n)$, with $d_1 \ge d_2 \ge \cdots \ge d_n$, is graphic if and only if $\sum_{i=1}^n d_i$ is even and

$$\sum_{i=1}^{k} d_i \le k(k-1) + \sum_{i=k+1}^{n} \min\{k, d_i\}, 1 \le k \le n.$$

Can be checked in time O(n)

AND

We have a polynomial algorithm for the reconstruction of a graphic sequence (Havel, Hakimi).

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Graphical partitions

A geometrical interpretation of Erdös' condition

Through its Ferrers diagram, an integer partition *d* can be decomposed as follows



 $d_1 = 0 + 2 + 2 + 2 + 1$ graphical $d_2 = 4 + 2 + 2 + 1 + 1$ maximal $d_3 = 4 + 3 + 2 + 1$ not graphical

Theorem

An integer partition d is graphical if and only if $R(d) \leq L(d)$ w.r.t. dominance order.

Previous results - Hypergraphs

Some sub-classes of uniform hypergraphs have been investigated:

Theorem

(A. F., C. Picouleau) An homogeneous 3-uniform hypergraph can be reconstructed in polynomial time from its degree sequence.

Theorem

(A. F., C. Picouleau, S.Rinaldi) A step-one 3-uniform hypergraph can be reconstructed in polynomial time from its degree sequence.

Similar complexity results are obtained for different of 3-uniform hypergraphs' subclasses.

Remark: these results are obtained using notions of combinatorics on words.

Previous results - Hypergraphs

In general, for a 3-uniform hypergraphic sequence the characterization problem is *NP-complete*, and consequently it is *NP-hard* the related reconstruction problem.

Theorem

(Deza, Levin, Meesum, Onn 2018) For any fixed $k \ge 3$ it is NP-complete to decide if $d = (d_1, d_2, ..., d_n)$ is the degree sequence of a k-uniform hypergraph.

Dimostrazione: P-time reduction of 3-partition to 3-graphic.

Research Aim: Detect further classes of uniform hypergraphs that are reconstructable in polynomial time.

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The class ${\mathcal D}$

Starting from a non-increasing integer sequence s = (s(1), ..., s(n)), with $n \ge 3$, we define the 3-uniform hypergraph H = (V, E) as $V = \{v_1, ..., v_n\}$, $E = \{(v_i, v_j, v_k) \text{ s.t. } s(i) + s(j) + s(k) > 0, \text{ for } 1 \le i < j < k \le n\}.$

The class \mathcal{D} is thus defined as $\mathcal{D} = \bigcup_{n \geq 3} \mathcal{D}_n$.

Property

If (v_i, v_j, v_k) is an edge of *H*, then $(v_i, v_j, v_{k'})$ is an edge of *H* for all $j + 1 \le k' \le k$.

The degree sequences in \mathcal{D} are *unique*, i.e., if $\pi \in \mathcal{D}$ then there exists one only 3-hypergraph (up to isomorphism) realizing it.

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The class \mathcal{D}_n

Example

Let s = (3, 2, 0, -1, -2). The obtained 3-hypergraph is

$$H_{s} = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 \end{pmatrix}$$

and $\pi_s = (5, 4, 4, 3, 2)$.

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Incidence matrix structure

Block Graphs

The incidence matrix of a \mathcal{D} -hypergraph allows a splitting into blocks, grouping the rows whose first two non-zero entries occupy the same positions.

$$H_{\pi} = \left(\begin{matrix} 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \\ \hline 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \\ \hline 1 & 0 & 1 & 0 & 0 & 1 \\ \hline 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \\ \hline 0 & 1 & 1 & 0 & 0 & 1 \\ \hline 0 & 1 & 0 & 0 & 1 & 0 \\ \hline 0 & 0 & 1 & 1 & 0 & 0 \\ \hline 0 & 0 & 1 & 1 & 1 & 0 \\ \hline 0 & 0 & 1 & 1 & 1 & 0 \\ \hline \end{pmatrix} \right\} \begin{matrix} B_{1,3,5} \\ B_{1,4,5} \\ B_{2,3,6} \\ B_{2,4,5} \\ B_{3,4,5} \end{matrix}$$

with $\pi = (8, 8, 8, 6, 6, 3)$ and s = (1, 1, 1, 0, 0, -1).

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Incidence matrix structure

Block Graphs

For each block, we can define an integer sequence considering its columns' sums:

$$H_{\pi} = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \\ \hline 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \\ \hline 0 & 1 & 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 \\ \hline 0 & 1 & 0 & 1 & 1 & 0 \\ \hline 0 & 1 & 1 & 1 & 0 \\ \hline 0 & 0 & 1 & 1 & 1 & 0 \\ \hline \end{pmatrix}$$

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Incidence matrix structure

Block Graphs

The integer sequences thus obtained are graphical partitions.



Property

The integer sequence $b_{i,j,k}$ is a maximal graphical partition for each $1 \le i < j < k \le n$.

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Incidence matrix structure

Block Graphs

For a fixed index i^* , summing $b_{i^*,j,k}$ on varying of j, k is equivalent to stack their Ferrers diagrams



getting a new integer partition λ^{i^*}



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Incidence matrix structure

Block Graphs

Starting from the incidence matrix, we define the *block graphs* of a \mathcal{D} -hypergraph:

	11	1	1	0	0	-0/	
	1	1	0	1	0	0	
	1	1	0	0	1	0	
	X	1	0	0	0	1	
	1	0	1	1	0	0	$\lambda^{1} = (4, 4, 3, 3, 2)$
	X	0	1	0	1	0	
$H_{\pi} =$	X	0	1	0	0	1	
	1	0	0	1	1	0	
	0	1	1	1	0	0	1
	0	X	1	0	1	0	(22, (222, 10))
	0	X	1	0	0	1	$\lambda^{-} = (3, 2, 2, 1, 0)$
	0	X	0	1	1	0	J
	$\overline{0}$	0	X	1	1	0/	$\lambda^3 = (1, 1, 0, 0, 0)$

Property

Block graphs are maximal graphical partitions.

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Incidence matrix structure

Block Graphs

Starting from the incidence matrix, we define the *block graphs* of a \mathcal{D} -hypergraph:

	11	1	1	0	0	-0/	
	1	1	0	1	0	0	
	1	1	0	0	1	0	
	X	1	0	0	0	1	
	1	0	1	1	0	0	$\lambda^{1} = (4, 4, 3, 3, 2)$
	X	0	1	0	1	0	
$H_{\pi} =$	X	0	1	0	0	1	
	1	0	0	1	1	0	
	0	1	1	1	0	0	1
	0	X	1	0	1	0	(22, (222, 10))
	0	X	1	0	0	1	$\lambda^{-} = (3, 2, 2, 1, 0)$
	0	X	0	1	1	0	J
	$\overline{0}$	0	X	1	1	0/	$\lambda^3 = (1, 1, 0, 0, 0)$

Property

Block graphs are maximal graphical partitions.

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Incidence matrix structure

Block Graphs

Property

Block graphs are maximal graphical partitions.

If we look at block graphs as integer partitions, we find strong symmetry properties in their Ferrers diagram:



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Incidence matrix structure

Plane Partitions

Stacking block graphs, each \mathcal{D} -hypergraph can be put in relation with a plane partition. In the previous example,

$$\lambda^1 + \lambda^2 + \lambda^3 = (4, 4, 3, 3, 2) + (3, 2, 2, 1) + (1, 1)$$

 $P_{\pi} = \begin{bmatrix} 1 & 2 & 0 & 0 & 0 \\ 1 & 2 & 3 & 3 & 0 \\ 1 & 2 & 2 & 2 & 2 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}$



D-plane partitions reflect symmetry properties of maximal graphical partitions.

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Incidence matrix structure

Plane Partitions

The complete 3-uniform hypergraph on n vertices gives n - 2 *complete* block graphs. Their Ferrers diagrams are rectangles of decreasing dimension and compose the *complete* plane partition.

For n = 6, we get

$$\lambda^{1} + \lambda^{2} + \lambda^{3} + \lambda^{4} = (4, 4, 4, 4, 4) + (3, 3, 3, 3) + (2, 2, 2) + (1, 1)$$



$$P_{\pi} = \begin{bmatrix} 1 & 2 & 3 & 4 & 4 \\ 1 & 2 & 3 & 3 & 3 \\ 1 & 2 & 2 & 2 & 2 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

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Decomposition of a \mathcal{D} -Hypergraph

Property

Given P_{π} a plane partition related to a generic \mathcal{D} -hypergraph with n vertices, there exists P_{π^*} , submatrix of P_{π} , such that P_{π^*} is the plane partition of a complete \mathcal{D} -hypergraph.

So, each $\mathcal D\text{-plane}$ partition contains a complete $\mathcal D\text{-plane}$ partition of lower size.

 P_{π^*} and the related \mathcal{D} -hypergraph H^* are called *core* of H.



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Decomposition of a \mathcal{D} -Hypergraph

We can always decompose a \mathcal{D} -plane partition as

$$P_{\pi}=P_{\pi^*}+R_{\pi}+L_{\pi}.$$

 $R_{\pi} = L_{\pi}$ holds, since block graphs are always maximal graphical partitions.

Example



Plane partition of $\pi = (12, 10, 10, 7, 7, 5, 3)$, given by the integer sequence s = (3, 2, 2, 0, 0, -2, -3).

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Heuristics from plane partitions

We take advantage from the decomposition of a \mathcal{D} -hypergraph as

$$P_{\pi}=P_{\pi^*}+R_{\pi}+L_{\pi}.$$

Strategy: preliminary construction of *H*^{*}, the core of the incidence matrix (always exact and in polynomial time).

Aim: avoiding the insertion of extra-rows during the construction of the matrix *H*.

In general, we do not know the correct order of insertion of rows for the completion of the matrix. Choosing different orders, we can define different subclasses of sequences that admit a polynomial time reconstruction.

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Heuristic from plane partitions

Complementarity

Given a \mathcal{D} -sequence $\pi = (d_1, \ldots, d_n)$, we define its *complementary sequence* as $\pi^c = (d_M - d_1, \ldots, d_M - d_n)$, where $d_M = \frac{(n-2)(n-1)}{2}$ is the maximum admitted value for the degree of a vertex.

If \mathbb{H} is the complete 3-uniform hypergraph, π^c is the degree sequence of $H_{\pi}^c = \mathbb{H} - H_{\pi}$, the *complementary hypergraph* of the \mathcal{D} -hypergraph H_{π} .

We underline that complementary hypergraphs have the same properties of \mathcal{D} -hypergraphs. In particular, they are *unique* and their incidence matrix allows a splitting into blocks.

Heuristic

Heuristic from plane partitions

Complementarity

Given a \mathcal{D} -sequence $\pi \in \mathcal{D}_n$ and its block graphs λ^i , we define the *complementary block graph* of λ^i as

$$\lambda^{i^{c}} = \underbrace{(n-i-1,\ldots,n-i-1)}_{(n-i)} - \lambda^{i}$$

for i = 1, ..., n - 2. It is obtained as the complementary respect to the complete block graph.



Block and complementary block graphs $\pi = (7, 6, 6, 3, 3, 2)$.

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Heuristic from plane partitions

Reconstruction strategy

We propose a new reconstruction strategy, based on the interpretation of hypergraphs as plane partitions and complementarity.

Key point: we reconstruct the plane partition of the hypergraph stacking block and complementary block graphs at the same time.

RecP-core

- **O** Input: π of length n;
- **1** Reconstruct P_{π^*} the core of the plane partition;
- 2 Construct the planes from P^1 to P^{n-2} , avoiding ambiguous insertions;
- **3** Complete the construction of planes, from P^{n-2} to P^1 ;
- 4 Output: P_{π} .

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Heuristic from plane partitions

Example of reconstruction

- Input: $\pi_s = (23, 21, 20, 19, 17, 12, 11, 10, 5);$
- Construction of P_{π^*} , the plane partition of the complete \mathcal{D} -hypergraph with 6 vertices;
- Update: $\pi = (13, 11, 10, 9, 7, 2, 11, 10, 5)$ and $\pi^c = (5, 7, 8, 9, 11, 16, 17, 18, 23);$
- Partial construction of planes, from P¹ to P⁴, avoiding ambiguous insertions;

We avoid the insertion of extra-rows during the reconstruction process!

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Heuristic from plane partitions

Example of reconstruction



 one choice between (1, 5, 9), (1, 6, 8)

two choices among (2, 4, 9), (2, 5, 8), (2, 6, 7)

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Heuristics from plane partitions

Example of reconstruction



one choice between (3, 4, 9), (3, 5, 8), (3, 6, 7)



- Update: $\pi = (1, 2, 1, 2, 3, 1, 1, 3, 1);$
- Completion of planes, from P⁴ to P¹, according to implications required from D-hypergraphs' structure.

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Heuristic from plane partitions

Example of reconstruction

The update sequence is $\pi = (1, 2, 1, 2, 3, 1, 1, 3, 1)$, we have to insert five hyperedges to complete the reconstruction.

- **1** By cardinality reasons, $(4, 5, 7) \in H_{\pi}$, *inserted*.
- 2 $(3,4,9) \in H_{\pi}$ implies $(2,4,9) \in H_{\pi}$, in conflict with $\pi_9 = 1$, *excluded*.
- 3 $(3, 6, 7) \in H_{\pi}$ implies $(2, 6, 7) \in H_{\pi}$, in conflict with $\pi_6 = 1$ and $\pi_7 = 1$, *excluded*.
- 4 It follows that $(3, 5, 8) \in H_{\pi}$ and $(2, 5, 8) \in H_{\pi}$, *inserted*.
- **5** (2, 4, 9) is the last hyperedges involving v_4 , and we have $\pi_4 \neq 0$, *inserted*.
- **6** (1, 6, 8), *inserted*.

Heuristic

Heuristic from plane partitions

- There is not any insertion of extra-rows in H_{π} and H_{π^c} ;
- It is necessary to find an algorithmic way to implement the partial reconstruction of planes, based on *geometrical properties* of maximal graphical (complementary) partitions;
- Avoiding ambiguous insertions, we have a partial and *correct* reconstruction of π in polynomial time;
- Implementation (in polynomial time) of the implications required for the completion of the hypergraph;
- Uniqueness of *D*-sequences should ensure the correct completion of the incidence matrix, but we need a rigorous proof.

\mathcal{D} -sequences and Order Theory

Triplets' Poset

We consider Ω_n the set of all triplets (a_1, a_2, a_3) whose elements are in $\{1, \ldots, n\}$ and s.t. $1 \le a_1 < a_2 < a_3 \le n$. We define a partial order relation on it:

 $(a_1, a_2, a_3) \le (b_1, b_2, b_3)$ if and only if $a_i \le b_i$ for i = 1, 2, 3.

We get the partially ordered set $\mathcal{T}_n = (\Omega_n, \leq)$.

Each triplet $(i, j, k) \in \Omega_n$ identifies the hyperedge of the complete 3-hypergraph on *n* vertices that intersects v_i, v_j and v_k .

Property

Given $h_1, h_2 \in \Omega_n$ s.t. $h_1 \leq h_2$, if $h_2 \in H_{\pi}$ then $h_1 \in H_{\pi}$, with H_{π} the \mathcal{D} -hypergraph with degree sequence π . Similarly, if $h_1 \leq h_2$ and $h_1 \notin H_{\pi}$, then $h_2 \notin H_{\pi}$.

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\mathcal{D} -sequences and Order Theory

Theorem

Each hypergraph related to a \mathcal{D} -sequence π can be uniquely associated to an ideal I_{π} of the poset \mathcal{T}_n .

Remark: the correspondence is not bijective. There exist ideals in \mathcal{T}_n that identify 3-hypergraphs that are *not* \mathcal{D} -hypergraphs.

Hypergraphs identified by ideals keep the properties of \mathcal{D} -hypergraphs: their incidence matrix allows a splitting into blocks but they are *not unique* (in general).

We define a new class of 3-graphic sequences, \mathcal{D}_n^{ext} , as the class of sequences identified by ideals of \mathcal{T}_n .

Order Theory

An extension of \mathcal{D} -sequences

Example

$v_1 v_2 v_3 v_4 v_5 v_6 v_7 v_8 v_9$	$v_1 v_2 v_3 v_4 v_5 v_6 v_7 v_8 v_9$
111000000	011100000
1 1 0 0 0 0 0 0 1	0 1 1 0 0 0 0 1 0
101100000	010110000
101000001	010100010
100110000	0 1 0 0 1 1 0 0 0
100100001	010010010
100011000	001110000
100010001	001100010
100001100	001011000
100001001	001010010

3-uniform hypergraph not in \mathcal{D} and related to the ideal $I_{\pi} = \downarrow \{(1, 6, 9), (3, 5, 8)\}$ (degree sequence $d_{H} = (25, 19, 19, 16, 16, 12, 10, 10, 5)$).

Order Theory

Conclusions and Future Developments

- Extend the defined heuristics to get the polynomial time reconstruction of (subclasses of) D_n^{ext};
- As an alternative, prove that the reconstruction problem is NP-hard also for D-sequences;
- Find bijections between classes D_n, D_n^{ext} and known combinatorial structures, with the aim of establishing their cardinalities. Investigate T_n and its ideals;
- Generalize our results to k-uniform degree sequences and hypergraphs, detecting new classes of *unique* sequences that admit a polynomial time solution for the consistency and reconstruction problems.

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